



Biometrics and Face Recognition Techniques

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Abstract—Biometrics is a growing technology, which has been widely used in forensics, secured access and prison security. A biometric system is fundamentally a pattern recognition system that recognizes a person by determining the authentication by using his different biological features i.e. Fingerprint, retina-scan, iris scan, hand geometry, and face recognition are leading physiological biometrics and behavioral characteristic are Voice recognition, keystroke-scan, and signature-scan. In this paper different biometrics techniques such as Iris scan, retina scan and face recognition techniques are discussed.

Keyword: Biometric, Biometric techniques, Eigenface, Face recognition.

I. INTRODUCTION

Biometrics is automated methods of recognizing a person based on a physiological or behavioral characteristic. The past of biometrics includes the identification of people by distinctive body features, scars or a grouping of other physiological criteria, such like height, eye color and complexion. The present features are face recognition, fingerprints, handwriting, hand geometry, iris, vein, voice and retinal scan. Biometric technique is now becoming the foundation of a wide array of highly secure identification and personal verification. As the level of security breach and transaction scam increases, the need for well secure identification and personal verification technologies is becoming apparent. Recent world events had lead to an increase interest in security that will impel biometrics into majority use. Areas of future use contain Internet transactions, workstation and network access, telephone transactions and in travel and tourism. There have different types of biometrics: Some are old or others are latest technology. The most recognized biometric technologies are fingerprinting, retinal scanning, hand geometry, signature verification, voice recognition, iris scanning and facial recognition

A biometric system can be either an 'identification' system or a 'verification' (authentication) system, which are defined below.

Identification (1: n) – One-to-Many: Biometrics can be used to determine a person's identity even without his awareness or approval. Such as scanning a crowd with the help of a camera and using face recognition technology, one can verify matches that are already store in database.

Verification (1:1) One-to-One: Biometrics can also be used to verify a person's identity. Such as one can allow physical access to a secure area in a building by using finger scans or can grant access to a bank account at an ATM by using retina scan.

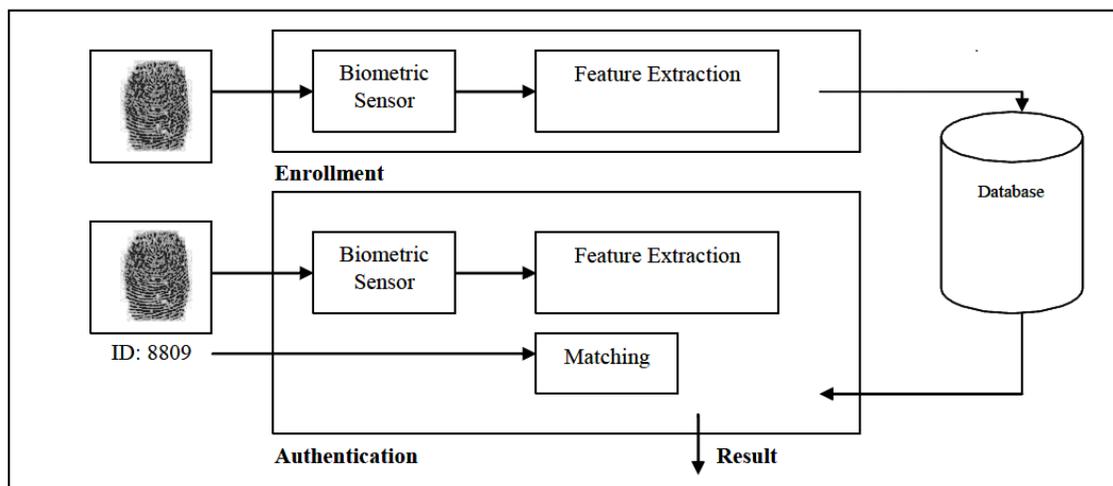


Fig. 1 General Biometric System [1]

II. BIOMETRIC CHARACTERISTICS

"Biometrics" means "life measurement" but the term is generally coupled with the use of unique physiological characteristics to identify a person, some other characteristics of biometrics are:

Universal: Every person must possess the characteristic. The trait must be one that is universal and seldom lost to accident or disease.

Invariance of properties: They should be constant over a long time. The trait should not be focus to considerable differences based on age either episodic or chronic disease.

Measurability: This should be suitable for capture without waiting time and must be easy to gather the attribute data passively.

Singularity: Each expression of the element must be distinctive to the person. The characteristics should have adequate distinctive properties to distinguish one person from other. Height, weight, hair and eye color are all elements that are unique assuming a mostly accurate measure, but do not offer enough points of separation to be useful for more than categorizing.

Acceptance: The capturing should be possible in a manner acceptable to a large fraction of the residents. Excluded are particularly persistent technologies, such technologies which is require a part of the human body to be taken or which (apparently) impair the human body.

Reducibility: The captured data should be able of being reduced to a file which is easy to handle.

Reliability and tamper-resistance: The attribute should be impractical to mask or modify. Process should make sure high reliability and reproducibility.

Privacy: This process should not break the privacy of the individual.

Comparable: They should be able to reduce the trait to a state that makes it is digitally comparable from others. It has less probabilistic for similarity and more dependable on the identification.

Inimitable: The trait must be irreproducible by other way. The less reproducible the trait, the more likely it will be reliable.

Biometric technologies: fingerprint, facial features, hand geometry, voice, iris, retina, vein patterns, palm print, DNA, keystroke dynamics, ear shape, odor, signature all satisfy the above requirements.

Table I
Characteristic Feature of Biometric Technology [1]

Characteristics	Fingerprints	Hand Geometry	Retina	Iris	Face	Signature	Voice
Easy of Use	high	high	Low	Medium	Medium	High	High
Error Incidence	Dryness, dirt, age	Hand injury, age	Glasses	Lighting	Lighting, age, glasses, hair	Changing signature	Noise, colds
Accuracy	High	High	Very high	Very high	High	High	High
User Acceptance	Medium	Medium	Medium	Medium	Medium	High	high
Long Term Stability	High	Medium	high	high	Medium	Medium	Medium

In biometrics, biometric system can be classified into following modules-

- Database Preparation Module
- Verification Module.

Database Preparation Module are further divided into two sub-modules

(a) Enroll Module and (b) Training Module while the other module

Verification module

(a) Matching Module and (b) Decision Module.

III. BIOMETRIC TECHNOLOGY

- Fingerprint Recognition
- Voice Recognition
- Signature Recognition
- Face Recognition
- Palm scan
- Iris-scan

- Retina-scan
- Hand geometry
- Signature-scan
- Keystroke-scan

Primary biometric disciplines include:

Fingerprint (optical, silicon, ultrasound, touch less), Facial recognition (optical and thermal)

Voice recognition (not to be confused with speech), Signature-scan, Iris-scan, Retina-scan, Hand geometry, Keystroke-scan, Palm-scan (forensic use only)

Exploratory stages include:

DNA, Ear shape, Odor (human scent), Vein-scan (in back of hand or beneath palm), Finger geometry (shape and structure of finger or fingers), Nailbed identification (ridges in fingernails), Gait recognition (manner of walking)

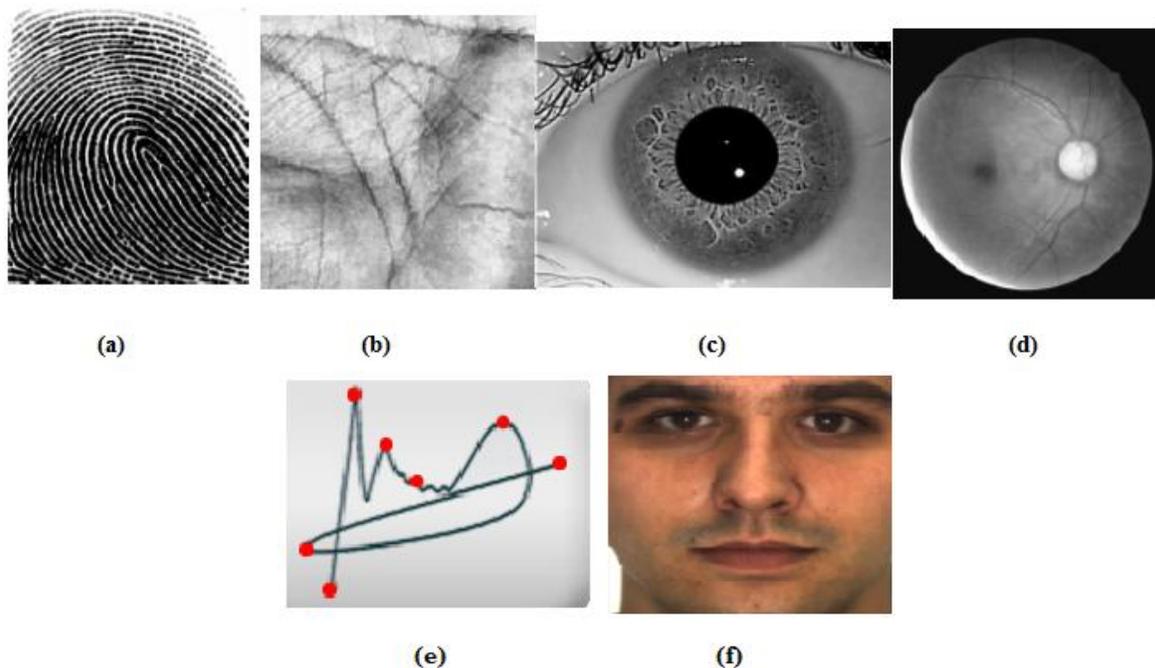


Fig. 2 Biometrics traits: (a) fingerprint, (b) palm, (c) iris, (d) retina, (e) signature and (f) Face.

Fingerprint Recognition: Fingerprint scan is the most widely used biometric technology. Fingerprint (optical, silicon, ultrasound, touch less) uniqueness can be defined by analyzing the trivia of a human being. Trivia include sweat pores, distance stuck between ridges, bifurcation. It is probable that the likelihood of two individuals having the same fingerprint is less than one in billion. There are several sub-methods in fingerprinting, with changeable degrees of accuracy and correctness. Various can even detect when a live finger is present. Fingerprinting method has been developed over the years.

Voice Recognition: Voice recognition technology does not measure the visual features of the human body. In voice recognition sound sensations of a person is measured and compared to an existing dataset. The person to be identified is usually required to speak a secret code, which facilitate the verification process.

Signature recognition: Signature recognition is the process used to recognize an individual's hand-written or signature. Dynamic signature verification technology uses the behavioral biometrics of a hand written signature to confirm the identity of a computer client. Analyzing the speed, shape, stroke, and pen pressure and timing information during the act of signing natural does this.

Palm recognition: In palm recognition a 3-dimensional image of the hand is collected and the feature vectors are extracted and compared with the database feature vectors. These devices are bulky but identification is done in a short time.

Hand Geometry Hand: Hand geometry has 3-D image of top and sides of hand and fingers is collected and the feature vectors are extract and compared with the dataset feature vectors. It is recognition devices are bulky but identification is done in a 2-3 second. User places hand, palm-down, on an 8 x 10 metal surface with five guidance pegs. Pegs confirm that fingers are positioned correctly and also verify correct hand position.

Iris scan: The iris scans process start to get something on film. For this a specialized camera is required, naturally very close to the subject, not above three feet, uses an infrared imager to illuminate the eye and capture a very high-resolution photograph. Complete process takes only few seconds (approximately 1 to 2 sec) and provides the details of the iris knowingly produce, recorded and stored in dataset for future identification and verification. The quality of iris image does not get affected due to the presence of the contact lens and eyeglass. The iris code is evaluated in short time and takes 256 bytes. The probability that 2 different irises could produce the same iris code is estimated as low as 1: 1078 the probability of two persons with the same iris is very low (1: 1052) [2].

Retina scan: Retina scan is based on the blood vessel pattern in the retina of the eye. Retina scan technology is older than the iris scan technology that also uses a part of the eye. The first retinal scanning systems were launched by EyeDentify in 1985[3]. Retina is not directly visible and so a coherent infrared light source is necessary to illuminate the retina. The infrared energy is immersed more rapidly by blood yacht in the retina than by the surrounding tissue. The image of the retina blood vessel pattern is then analyzed for characteristic points within the pattern. The retina scan is more susceptible to some diseases than the iris scan, but such diseases are relatively rare [4].

Table II
Comparison of various biometric traits

Biometrics	Universality	Uniqueness	Permanence	Collectability	Performance	Acceptability	Circumvention
Face	H	L	M	H	L	H	L
Fingerprint	M	H	H	M	H	M	H
Hand Geometry	M	M	M	H	M	M	M
Keystrokes	L	L	L	M	L	M	M
Hand veins	M	M	M	M	M	M	H
Iris	H	H	H	M	H	L	H
Retinal scan	H	H	M	L	H	L	H
Signature	L	L	L	H	L	H	L
Voice	M	L	L	M	L	H	L
Face	H	H	L	H	M	H	H
Odor	H	H	H	L	L	M	L
DNA	H	H	H	L	H	L	L
Gait	M	L	L	H	L	H	M
Ear Canal	M	M	H	M	M	H	M

Strength and Weakness of current Biometrics Technology

Biometrics technology is most effective and safe method we will check them by its advantages and disadvantages

Fingerprint Recognition:

Advantages: Very high accuracy, non-invasive biometric technique. Most economical biometric PC user authentication technique, it is one of the most developed biometrics, Easy to use, Small storage space required for the biometric template and also reduces the size of the database, It is standardized.

Disadvantages: For some people it is extremely intrusive, because is at rest related to criminal verification, it can be compose mistakes with the dryness or dirty of the finger’s skin, as well as with the age (is not appropriate with children, because their fingerprint changes quickly), Image captured at 500 dots per inch (dpi). Resolution: 8 bits per pixel. A 500 dpi

fingerprint image at 8 bits per pixel demands a large memory space, 240 Kbytes approximately → Compression required (a factor of 10 approximately).

Voice Recognition:

Advantages: Non intrusive, high social capability, less verification time is about five seconds and not expensive technology.

Disadvantages: A person’s voice can be easily recorded and used for unauthorized PC or network, Low accuracy, an illness such as a cold can change the voice of a person, which makes identification difficult or impossible.

Signature recognition

Advantages: Non intrusive, less time of verification about 4 to 5seconds, inexpensive technology.

Disadvantages: Error rate: 1 in 50.

Hand Geometry:

Advantages: It requires special hardware; it can be easily integrated into other devices or systems, It has no public attitude problems as it is associated most commonly with authorized access, a large amount of data are stored in database to uniquely identify a user, allow it to be used with Smartcards.

Disadvantages: Very expensive, Considerable size, it is not valid for arthritic person; they cannot put the hand on device.

Iris scan:

Advantages: Very high accuracy, Verification time is generally less than 5 seconds, The eye from a dead person would deteriorate too speedy to be valuable, so no extra protection have to been taken with retinal scans to be sure the user is a living human being.

Disadvantage: Too much movement of head or eye, wear colored contacts.

IV. FACE RECOGNITION

In order to recognize a person, one commonly looks at faces, which differentiate one person to another. Fr is used to search for other images with matching features [5]. Eyes in particular seem to tell a story not only about which somebody is, but also about how that person feels, where his/her attention is directed, etc [1]. Face recognition records the spatial geometry of unique features of the face. Main focuses on key features of the face. Face recognition technique is used to identify terrorists, criminals, and other types of persons for law enforcement purposes. This is a non intrusive, cheap technology. In fr 2d recognition is affected by change in lighting, the person’s hair, age, and if the people wear glasses, low resolution images [5]. It requires camera as equipment for user identification; thus, it is doubtful to become popular until most pcs include cameras as standard equipment. United states used same technologies to prevent people from obtaining fake identification cards and driver’s licenses [9]-[10].

Face recognition has always been a very challenging task for the researches. On the one hand, its applications may be very useful for personal verification and recognition. On the other hand, it has always been very difficult to implement due to all different situation that a human face can be found [8]. Facial recognition is a form of computer vision that uses faces to attempt to identify a person or verify a person’s claimed identity. Facial recognition is including five steps to complete their process.

Step1: ACQUIRING THE IMAGE OF AN INDIVIDUALS FACE; 2 *WAYS TO AQUIRE IMAGE:* 1) Digitally scan an existing photograph; 2) Acquire a live picture of a subject.

Step2: LOCATE IMAGE OF FACE: software is used to locate the faces in the image that has been obtained.

Step3: ANALYSIS OF FACIAL IMAGE: software measures face according to is peaks and valleys; focuses on the inner area of the face identified as the “golden triangle”, valleys are used to create a face print with their nodal points.

Step4: COMPARISON: the face print created by the software is compared to all face prints the system has stored in its database.

Step5: MATCH OR NO MATCH: software decides whether or not any comparisons from step 4 are close enough to declare a possible match.

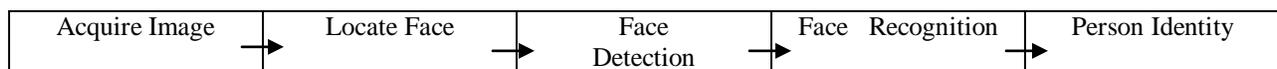


Fig. 3 Steps of Face Recognition System

Facial recognition utilizes distinctive features of the face - including the upper outlines of the eye sockets, the areas surrounding the cheekbones, the sides of the mouth, and the location of the nose and eyes - to perform verification and identification. Most technologies are fairly resistant to modest changes in haircut as they do not exploit areas of the face

located near the hairline. When used in identification mode, facial recognition technology generally returns candidate lists of close matches as opposed to returning a single definitive match (as do fingerprint and iris-scan technologies) [4].

Face Recognition algorithms are Principal Component Analysis(PCA) using eigenfaces, Linear Discriminate Analysis, Elastic Bunch Graph Matching using the Fisherface algorithm, Pseudo 1D Hidden Markov model(HMM), Pseudo 2D Hidden Markov model, Multilinear Subspace Learning using tensor representation, and the neuronal motivated dynamic link matching, Artificial neural network, Support vector machine(SVM) and normalized correlation.

The first task of the processing software is to locate the face (or faces) within the image. Then the facial characteristics are extracted. Facial recognition technique is newly developed into two areas: *facial metrics* and *eigenfaces*. Facial metrics technology relies on the measurement of the specific facial features (the systems usually look for the positioning of the eyes, nose and mouth and the distances between these features) [4]. *Eigenfaces* FR method is based on categorizing faces according to the degree of fit with a fixed set of 150 master eigenfaces. This technique has similar police and methods that are used in creating a portrait, the only difference is that image processing is automatic and based on a real picture. Every face is assigned a degree of fit to each of the 150 master eigenfaces, only the 40 template eigenfaces with the highest degree of fit are necessary to reconstruct the face with the accuracy of 99%. Improving the algorithms for face position, the current software often does not find the face at all or finds "a face" at an incorrect place. This significantly makes the results worse. Better results can be achieved if the operator is able to tell the system exactly where the eyes are positioned [4].

Although we can find many other identification and verification techniques, the main motivation for face recognition is that, it is considered fast, a passive, non intrusive system to verify and identify people [6]. There are many other types of identification such as password, PIN (personal identification number) or token systems. Moreover, it is nowadays very instilled the usage of fingerprints and iris as a physiological identification. They are useful if we need an active identification system; the fact is that a person has to expose their body to some device makes people feel being scanned and recognized. The pause and announce interaction is the best method for bank transactions and security areas; people are aware of it, and make them feel comfortable and safe with it [7].

However, we do not want to interact with people that way in many other applications that required identification. For example, a store that wishes to recognize some customers or a house that has to identify people that live in there. For those application, face as well as voice verification are used.

A. *Functions of Face Recognition*

Face detection: Face detection and indication of any facial zones that are opposite in various guidelines in complex scene.

Facial pose estimation: Estimation of the angle to which a face is twisted

Facial part detection: The identification of the positions of face parts for example the centre of eyes, tip of nose, and corners of the jaws.

Facial trait classification: The classification of faces by colour, gender, civilization, age, appearance and other character.

Face identification: The identification of persons by comparisons with registered people

B. *Statistical Face Recognition*

That faces recognition that is most commonly used in commercial applications. The first step is to define a facial pattern of a specific size. Human vision can judge whether or not a face is present even in a low-resolution image made up of 16x16 pixels [11]. This ability does not rely on color, and human eyes will find faces even in a monochrome image, computer process facial patterns using image of about the same size.

1) Detection of face to be scanned: The system scans the image from top left to bottom right until it finds this pattern.

2) Facial pattern classification: Facial patterns are not easy to define. They vary from person to person, and they also change according to the angle of the face and differences in lighting conditions or facial expressions. To overcome this, it is necessary to formulate functions that allow discrimination between facial and non-facial images by applying statistical methods to large numbers of facial and non-facial images. It is possible to achieve powerful pattern classification performance despite the simplicity of the operation involved [11].

V. CONCLUSION

Biometrics is a rapidly evolving technology that is being widely used in forensics, security; prevent unauthorized access in bank or ATMs, in cellular phones, smart cards, PCs, in workplaces, and computer networks. There are numerous forms of biometrics now being built into technology platforms. It has been implemented in public for short time. There are lots of applications and solutions in biometrics technology used in security systems, which can improve our lives such as: improved security, it is reduced con and password administrator costs, easy to use and make life more secure and comfortable.

But it is not possible to definitely state if a biometric technique are successful run, it is essential to locate factors that's help to reduce affect system performance. The international biometric group Strike System Strikes are: in Fingerprint Dry/oily finger, in Voice recognition Cold or illness that affects voice, in Facial recognition Lighting conditions, in Iris-scan Too

much movement of head or eye, in Hand geometry Bandages, and in Signature-scan Different signing positions. Face recognition technology are more reliable, non-intrusive, inexpensive and extremely accurate. Currently Face recognition technology is the most challenging recognition technologies.

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